



10-28-04 1765

Express Mail No. EV331755937US  
Attorney Docket No. UMC-98-254 (252103-2040)  
Client/Matter No. 81848.0019.000

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Kun-Lin Wu and Meng-Jin Tsai

Patent No.: 6,077,784; Issued Date: June 20, 2000

Serial No. 09/132,876; Filed: August 11, 1998

For: CHEMICAL-MECHANICAL POLISHING METHOD

Group Art Unit: 1765

Examiner: Chen, K.

RECEIVED  
NOV 26 2004  
TC 1700

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

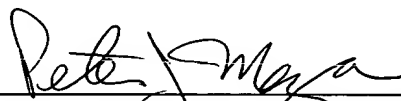
Sir:

Pursuant to 37 C.F.R. § 1.97(i), please place the attached Form 1449 and the enclosed copy of the listed patents in the above-referenced file. In submitting these references, no representation is made or implied that the references are or are not material.

This Information Disclosure Statement is filed with no request for consideration of this reference. Accordingly, no fee is believed due. However, any fee associated herewith may be charged to Deposit Account No. 50-1223.

Respectfully submitted,

10/27/04  
Date

  
Peter J. Meza, Reg. No. 32,920  
HOGAN & HARTSON LLP  
One Tabor Center  
1200 17th Street, Suite 1500  
Denver, Colorado 80202  
(719) 448-5906 Tel  
(303) 899-7333 Fax



Express Mail No. EV331755937US  
Attorney Docket No. UMC-98-254 (252103-2040)  
Client/Matter No. 81848.0019.000

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Kun-Lin Wu and Meng-Jin Tsai

Patent No.: 6,077,784; Issued Date: June 20, 2000

Serial No. 09/132,876; Filed: August 11, 1998

For: CHEMICAL-MECHANICAL POLISHING METHOD

Group Art Unit: 1765

Examiner: Chen, K.

RECEIVED  
NOV 26 2004  
TC 1700

CERTIFICATE OF MAILING BY EXPRESS MAIL

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

The undersigned hereby certifies that the attached:

1. Information Disclosure Statement;
2. Form PTO/SB/08A, with references;
3. Certificate of Mailing; and
4. Return card

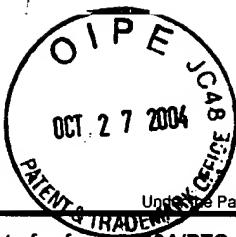
relating to the above application, were deposited as "Express Mail" Mailing Label No. EV331755937US, with the United States Postal Service, addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on 27 October 2004.

27 October 2004  
Date

10/27/04  
Date

\_\_\_\_\_  
Mailer

Peter J. Meza  
Peter J. Meza, Reg. No. 32,920  
HOGAN & HARTSON LLP  
One Tabor Center  
1200 17th Street, Suite 1500  
Denver, Colorado 80202  
(719) 448-5906 Tel  
(303) 899-7333 Fax



<b>Substitute for form 1449A/PTO</b>  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (Use as many sheets as necessary)				Application Number	<b>09/132,876</b>
				Filing Date	<b>August 11, 1998</b>
				First Named Inventor	<b>Kun-Lin Wu et al.</b>
				Art Unit	<b>1765</b>
				Examiner Name	<b>Chen, K.</b>
Sheet	1	of	1	Attorney Docket No.	<b>UMC-98-254 (252103-2040)</b>

U.S. PATENT DOCUMENTS					
Examiner Initials	Cite No. <sup>1</sup>	Document No. No. – Kind Code <sup>2</sup>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Doc	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US-5,506,177	04/09/1996	Kishimoto et al.	
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			

RECEIVED  
NOV 26 2004  
TC 1700

FOREIGN PATENT DOCUMENTS						
Examiner Initials	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Doc	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup>				
		JP 8-037187	05/17/1995	Sanyo Electric Co. Ltd.		Yes
		JP 9-82800	03/28/1997	Hitachi Ltd.		Yes
		JP 10-56009	02/24/1998	NEC Corporation		Yes
		JP 10-173049	06/26/1998	Fujitsu Ltd.		Yes

<b>EXAMINER SIGNATURE</b>	<b>DATE CONSIDERED</b>
<p>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at <a href="http://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.</p> <p>This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) and application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.</p>	